

Customer No.	18	
Attorney's Docket No.:	GK-ZEI-3130 / 500343.20130	
U.S. Application No.:	09/869,951 #3	
International Application No.:	PCT/EP00/10808	
International Filing Date:	NOVEMBER 02, 2000	02 NOVEMBER 2000
Priority Date Claimed:	NOVEMBER 10, 1999	10 NOVEMBER 1999
Title of Invention:	SYSTEM FOR INTRODUCING OPTICAL TWEEZERS AND/OR A TREATMENT BEAM INTO A MICROSCOPE	
Applicant(s) for (DO/EO/US):	Ronald WENDENBURG, Anja HOFFMANN, Karl Otto GREULICH, * Shamei MONAJEMBASHI and Volker UHL	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

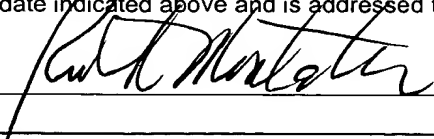
- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ A **FIRST** preliminary amendment  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☒ 14. A **substitute specification (attached to the Preliminary Amendment)**
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/DO/EO/905 dated 10SEP01 and a Marked-up/Bolded Specification and Abstract attached to Preliminary Amendment.**

(\* Please note the correct spelling of the 4<sup>th</sup> Inventor's first name (Shamci not Shamei))

EXPRESS MAIL No.: **EL 645 877 386 US**

Deposited: **December 10, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.



/Ruth Montalvo Date: Dec mb r 10, 2001

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CALCULATIONS PTO USE ONLY

**[X] 17. The following fees are submitted:****BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]**

<input checked="" type="checkbox"/>	Search Report has been prepared by the EPO or JPO.....	\$ 860.00
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482].....	\$ 690.00
<input type="checkbox"/>	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....	\$ 710.00
<input type="checkbox"/>	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....	\$ 1,000.00
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT:**

Claims	Number Filed		Number Extra	Rate
Total Claims	6	-20		x \$ 18. =
Indep. Claims	1	-03		x \$ 80. =
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+ \$ 270. =

**TOTAL OF ABOVE CALCULATIONS:**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

**\$130.00****TOTAL OF ABOVE CALCULATIONS:****\$130.00**

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

**SUBTOTAL:****\$130.00**Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(f)]**TOTAL NATIONAL FEE:****\$130.00**

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

**TOTAL FEE(S):****\$130.00**AMOUNTS TO BE  
REFUNDED OR CHARGED**REFUNDED  
CHARGED**

\$

(Please note the non-English language surcharge was paid on July 9, 2001)

☒ Check in the amount of **\$ 130.00** to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**Gerald h. Kiel, Esq.** (Customer No. 026418)  
Reed Smith LLP  
375 Park Avenue  
New York, NY 10152

Gerald H. Kiel  
Name (Tel. (212) 521-5400)

Signature

25,116  
Reg. No.

December 10, 2001  
Date